



Signal Processing for <u>Underwater</u> and <u>Structural</u> Acoustics

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COMMON PERCEPTIONS (Underwater Acoustics)

- ROBUST ACOUSTICS (MODELING ALWAYS WORKS)
- PASSIVE ACOUSTICS (MATCHED FIELD PROCESSING WORKS)
- VERY LOW SNR SIGNAL PROCESSING CAN BE ATTAINED WITH "EXOTIC" METHODS
- NOISE IS A NUISSANCE TO BE OVERCOME
- FLUCTUATIONS LIMITS SIGNAL PROCESSING

MY MOTIVATION

- ROBUST ACOUSTICS (MODELING ALWAYS WORKS)
 -AS LONG AS YOU DON'T COMPARE MODEL WITH DATA
- PASSIVE ACOUSTICS (MATCHED FIELD PROCESSING WORKS)-NOT REALLY, – <u>THE CHALLENGE</u>
- <u>VERY LOW SNR SIGNAL PROCESSING</u> -USUALLY NEVER WORKS -LATEST STUFF BREAKS DOWN AT SAME SNR AS CLASSICAL STUFF – <u>THE CHALLENGE</u>
- NOISE A NUISSANCE TO BE OVERCOME CAN BE USED TO IMAGE OCEAN ENVIRONMENT AND STRUCTURES
- FLUCTUATIONS LIMITS SIGNAL PROCESSING -- BUT MAY BE USABLE - THE CHALLENGE

OUTLINE

- WHAT DOES SIGNAL PROCESSING GIVE YOU?
- (Some) UNDERWATER ACOUSTICS (UA)
- PASSIVE vs ACTIVE SIGNAL PROCESSING
- TYPES OF NOISE in UA
- RADIATING TARGETS
- AMBIENT NOISE "IMAGING"
- SCATTEERING , STRUCTURAL ACOUSTICS and RANDOM ENSONIFATION

Signal Processing Outcomes

- Information about "target" [DCLT]
 - Detection
 - Classification
 - Localization
 - Tracking

MOST CRITICAL ISSUE: LOW SNR

- Information about medium/object
 - Medium properties [Tomography, Structural Health Monitoring...]

ACOUSTICS IN THE OCEAN



GENERIC SOUND SPEED STRUCTURE



GLOBAL SOUND SPEED STRUCTURE



SNELL'S LAW:

SOUND LIKES LOW SPEEDS

SCHEMATIC OF SOUND PROPAGATION PATHS





$$\nabla^2 G(r, r_s; z, z_s) + \frac{\omega^2}{[c + \Delta c(\mathbf{R})]^2} G(r, r_s; z, z_s) = -\delta(R - R_s), \quad (32)$$

which, to lowest order is

$$\nabla^2 G(r, r_s; z, z_s) + k^2(z) G(r, r_s; z, z_s) = -\delta(R - R_s) + \frac{2\omega^2 \Delta c(\mathbf{R})}{c^3} G(r', r_s; z', z_s).$$
(33)

The Born approximation then gives

$$G(r, r_s; z, z_s) - G_0(r, r_s; z, z_s) \equiv \Delta G = -2\omega^2 \int_V G_0(r, r'; z, z') G_0(r', r_s; z', z_s) \frac{2\Delta c(\mathbf{R}')}{c(\mathbf{R}')^3} dV(\mathbf{R}'), \quad (34)$$

which translates to the sensitivity of the Green's function to a sound speed perturbation,

$$\frac{\partial \Delta G(\mathbf{R}|\mathbf{R}')}{\partial \Delta c(\mathbf{R}')} = -2\omega^2 G(\mathbf{R}|\mathbf{R}')G(\mathbf{R}'|\mathbf{R}_s)\frac{1}{c(\mathbf{R}')^3},\tag{35}$$

where we have made an obvious change in notation. We will also show below that this result is comparable to the adjoint of the PE model.

Since the pressure field from a broadband source at receiver r is

$$p_r(t) = \frac{1}{2\pi} \int_{-\infty}^{\infty} G(\mathbf{R} | \mathbf{R}'; \omega; c) P_s(\omega) e^{i\omega t} \, d\omega, \qquad (36)$$

and the perturbed pressure is of the same Fourier form, we have

$$\frac{\partial p_r(t)}{\partial c(\mathbf{R}')} = \frac{1}{2\pi} \int_{-\infty}^{\infty} \left(-2\omega^2 G(\mathbf{R}|\mathbf{R}') G(\mathbf{R}'|\mathbf{R}_s) \frac{P_s(\omega)}{c(\mathbf{R}')^3} \right) e^{i\omega t} d\omega.$$
(37)

HOW?-for example, use

SENSTIVITY KERNAL/

BORN APPROXIMATION

Simulations - pressure record





Combine-Kernels for INVERSION





Ships Underway	Broa	dband Source Level			
	(dl	B re 1 μPa at 1 m)			
Tug and Barge (18 km/hour)		171			
Supply Ship (example: Kigoriak)		181			
Large Tanker		186			
Icebreaking		193			
Seismic Survey	Broadband Source Level				
	(dl	B re 1 μPa at 1 m)			
Air gun array (32 guns)		259 (peak)]	_	
Military Sonars	Broadband Source Level		Man Made Sounds		
	(dl	B re 1 μPa at 1 m)		c oounus	
AN/SQS-53C (U. S. Navy tactical mid-frequency sonar, center frequencies 2.6 and 3.3 kHz)		235			
AN/SQS-56 (U. S. Navy tactical mid-frequency sonar, center frequencies 6.8 to 8.2 kHz)		223			
SURTASS-LFA (100-500 Hz)	215 dB pe projectors i	er projector, with up to 18 in a vertical array operating simultaneously			
Ocean Acoustic Studies	Broa (dl	dband Source Level B re 1 μPa at 1 m)			
Heard Island Feasibility Test (HIFT) (Center frequency 57 Hz	206 dB for a projectors	single projector, with up to 5 in a vertical array operating simultaneously			
Acoustic Thermometry of Ocean Climate (ATOC)/North Pacific Acoustic Laboratory (NPAL) (Center frequency 75 Hz)		195			
		Sou	rce	Broadband Source Level	
				$(d\mathbf{R} \mathbf{re} 1 \mathbf{\mu} \mathbf{P} \mathbf{a} \mathbf{a} \mathbf{t} 1 \mathbf{m})$	
		Sperm Whale Clicks		(ub ic i µi u u i iii)	
		Debres Whele Esteration Click			
		Beluga whate Echolocation Click		206-225 (peak-to-peak)	
		White-beaked Dolphin Echolocation Clicks		194-219 (peak-to-peak)	
		Spinner Dolphin Pulse	Bursts	108-115	
Animai Soui	1 as	Bottlenose Dolphin Wh	istles	125-173	
		Fin Whale Moans		155-186	
		Blue Whate Moans		155-188	
		Gray Whale Moans		142-185	
		Bowhead Whale Tonals, Moans and Song		128-189	
		Humpback Whale Song		144-174	
		Humpback Whale Fluke	e and Flipper Slap	183-192	
		Southern Right Whale H	Pulsive Call	172-187	
		Snapping Shrimp		183-189 (peak-to peak)	

TYPICAL SONAR VIEW OF NOISE: NUISANCE





PLANE WAVE BEAMFORMING gives us Coherent <u>Gain</u> of Signal over Incoherent Noise and <u>Directionality</u>



s=Signal vector; d=s + noise; K (CSDM) ~ <d d +> [DATA] w="replica" vector (usually from a model)

If w=s (or d), then w⁺d $\leftrightarrow w^+$ Kw is maximum \rightarrow At correct angle, each element w_i^{*} is cc of s_i

PLANE WAVE BEAMFORMING: ADAPTIVE PROCESSORS

Minimum Variance Distortionless Processor

$$F = \mathbf{w}_{MV}^{\dagger} \mathbf{K} \mathbf{w}_{MV} + \alpha (\mathbf{w}_{MV}^{\dagger} \mathbf{w} - 1). \quad (11)$$
(Two independent variables: \mathbf{w}_{MV} and \mathbf{w}_{MV}^{*} .)

$$\mathbf{w}_{MV} = \frac{\mathbf{K}^{-1} \mathbf{w}}{\mathbf{w}^{\dagger} \mathbf{K}^{-1} \mathbf{w}}. \quad (12)$$

$$B_{MV}(\theta_s) = [\mathbf{w}^{\dagger}(\theta_s) \mathbf{K}^{-1}(\theta_{true}) \mathbf{w}(\theta_s)]^{-1}. \quad (13)$$

Eigenvector Beamformers

$$\mathbf{K} = \sum_{i=1}^{M} \lambda_i \mathbf{v_i} \mathbf{v_i}^{\dagger}, \qquad (14)$$

$$\mathbf{K}\mathbf{v}_{\mathbf{i}} = \lambda_i \mathbf{v}_{\mathbf{i}}, \quad i = 1, \dots, M.$$
(15)

$$\mathbf{K} = \sum_{i=1}^{p} \sigma_i \mathbf{s}_i \mathbf{s}_i^{\dagger} + \mathbf{K}_n.$$
(16)

$$\mathbf{K} = \sum_{i=1}^{p} \sigma_i \mathbf{s}_i \mathbf{s}_i^{\dagger} + \sigma_n \mathbf{I} = \sum_{i=1}^{p} (\sigma_i + \sigma_n) \mathbf{v}_i \mathbf{v}_i^{\dagger}.$$
 (17)

$$\mathbf{K}' = \sum_{i=1}^{p} \lambda_i \mathbf{v_i} \mathbf{v_i}^{\dagger}.$$
 (18)

$$\mathbf{K}_{\perp sig}^{-1} = \sum_{i=M-p}^{M} \lambda_i^{-1} \mathbf{v}_i \mathbf{v}_i^{\dagger}.$$
 (19)

Conventional and MVDR ("ADAPTIVE") Beamforming

For each hypothetical source position (r_i, z_i) , a replica vector d is implemented as: $d = p_{zs = zi}$ (distance source array, depth of elemts) d is then normalized to unity.

• Conventional BF:
$$W_c = a$$

$$W_c = d$$

• MVDR BF:

$$W_{MV} = \frac{K^{-1}d}{d^H K^{-1}d}$$

|--|

Power output:



Matched Field (Model Based) Processing (MFP)



COMPARE TO: Time Reversal Process in the Ocean



Time Domain

Time Reversal vs Matched Field Processing

$$\mathbf{B}_{\mathbf{TRP}} = \left| \mathbf{P}^{+} \mathbf{W} \right|^{2}$$

W: Time Reverse Data and retransmit using ACTIVE SOURCES

 $B = W^H K W$

$$\mathbf{B}_{\mathbf{MFP}} = \mathbf{W}^{+}(\mathbf{PP}^{+})\mathbf{W}$$
 W: computer generated
replica fields

SO: TR EXISTENCE THEOREM THAT MFP SHOULD WORK

WHEN DOES ALL THIS (TR=MFP) TYPICALLY WORK?

STABLE ENVIRONMENT LOWER FREQUENCIES GOOD SNR

STABLE, LOWER FREQ. MFP: (450 Hz)

SD: 47 m, R = 15 km

SD: 81 m, R=15km 15km km



BUT AT HIGHER FREQUENCY(3.5 kHz)



Time Reversal Mirror Experiment: Elba

SUPER EXISTENCE THM for MFP



Time Reversal Mirror Experiment: Elba

SUPER EXISTENCE THM for MFP



CAN TOMOGRAPHY HELP?

 \rightarrow SIGNAL PROCESSING?

2010–2011 NPAL Philippine Sea Experiment (MOST ACCURATE TOMOGRAPHY EXP TO DATE)



- T1–T6: WRC transceivers
- 660 km diameter
- DVLA
- Moored oceanographic sensors
- CTD
- **Acoustic Seagliders**

SUMMARY OF SELECTED RESULTS

- Model with Climatology 150 ms
- Assimilate Oceanography 80 ms
- Assimilate Acoustics <20ms
- 20 ms \rightarrow 30 meters
- 300 Hz \rightarrow Wavelength 5 m

No enhancement for Array Processing (need $\sim \lambda/8$)

{Accuracy off by a factor of ~ 30)

Mismatch shows up as NOISE

Some Thoughts on UW (Passive) Signal Processing

- Classical plane wave array processing well understood and limited by rigorous statistically derived bounds (on variances) and SNR
- Matched field Processing works only for lower frequencies where environment can be described with sufficient accuracy.
- Some focalization methods have been shown to be promising but still very SNR limited
- No new methods have reliably gone beyond above limitations.
- Random Fluctuation Theory so far applied to just quantifying limitations
- Machine Learning has not *yet* provided additional capabilities or insight

HAVE NOT YET TAKEN (PASSIVE) ADVANTAGE OF COMPLEXITY!!!

NOISE CORRELATIONS IN OCEAN ACOUSTICS <u>Is now very mature</u>: FREE SPACE; WAVEGUIDE; BEAMFORMING— ISSUE: CORRELATION TIME vs Medium Fluctuation Time: **SPEEDUP Process**:



Pick endfire levels only above A threshold **and throw data** Away!!!



Passive fathometer:

(Horizontal Array used as Vertical Array)

Using ambient noise on a drifting array we can map the bottom properties



Siderius et al., JASA 2006, Gerstoft et al., JASA 2008, Harrison, JASA 2009, Traer et al., JASA 2009, Siderius et al., JASA 2010

Endfire beamforming

Wind and waves make sound coming from all directions

Beamforming with a vertical array allows the sound coming from directions other than endfire to be greatly reduced.

This makes short time-averaging possible- an important component for practical application. Vertical array

Passive fathometer (drifting array)

Ambient noise 50-4000 Hz

Boomer



Adaptive processing gives better resolution of reflections
Slowest Path^{Ocean Tomograph} C^{(km5⁷⁾} C^{(km5⁷⁾}

204

REQUIRES ACTIVE SOURCE THAT IS:

- 1) PERFECTLY KNOWN WRT TIME SIGNAL AND SYNCRONIZATION
- 2) PERFECTLY KNOWN WRT SOURCE/RECEIVER GEOMETRY

BIG OCEAN TOMOGRAPHY and NOISE

Which ray corresponds To which arrival time?

Predicted

Depih (km)

BACKGROUND IA: IMAGING



WITHOUT ACTIVE SOURCE THAT IS:

- PERFECTLY KNOWN WRT TIME 1) SIGNAL AND SYNCRONIZATION
- PERFECTLY KNOWN WRT 2) SOURCE/RECEIVER GEOMETRY

BACKGROUND IIB: IMAGING



BACKGROUND IIC: IMAGING



Measuring deep ocean temperatures



Passive thermometry of the deep ocean





Goleta

Santa Cruz Island

Santa Rosa

Island

Santa Barbara

Carpinteria

De

*MarineTraffic.

com

101

Ven

-



Eigenrays and Bathymetry (active)



44

DATA+DECONVOLUTION+MODEL+AIS

AEL~cm



Preliminary Moving Tomograpy Results



FIG. 4. Inversion results corresponding to the location of thermistor string (TS) 1 showing sound speed perturbation estimate and its standard deviation (calculated from estimated horizontal decorrelation scales).



FIG. 5. Sound speed perturbation estimate corresponding to the blue slice shown in Fig. 10.

A CIVALOUAU ED CAAFAITC

Gemba et al...in prep.

MOVING ON:

NOISE and STRUCTURAL ACOUSTICS:

Holographic array for determining structural acoustic properties

With: Jit Sarkar, Sandrine T. Rakotonarivo, Simone Sternini, Alexis Bottero, Earl G. Williams, J.D. Tippmann, P. Roux

OBJECTIVES

- Construct a laboratory to measure the structural Green's function of an elastic object (structural impedance matrix) excited by an external random noise field, by using measurements of surface velocity & pressure.
- With this information predict the scattered field for any coherent incident field condition, in any medium.



scattering properties using correlation of random noise, JASA (2013)

OUTLINE

- THEORY BEHIND MEASUREMENTS
- LABORATORY COMPONENTS
- MEASUREMENTS AND COMPARISON WITH FEM

INTRODUCTION-1

We need three *surface* impedances to characterize the scattering from an elastic body given the incident pressure field:



INTRODUCTION-2

Simple manipulation of the impedances yields¹,



¹Bobrovntiskii (2006), A new impedance-based approach to analysis and control of sound scattering

Borgiotti (1990); Gaumond et Yoder (1995); Lucifredi and Schmidt (2004); Bobrovnitskii (2006)

where \mathbf{p}_s is the scattered field **on the surface**:



Scattered field at the receivers

INTRODUCTION-2

Simple manipulation of the impedances yields¹,



¹Bobrovntiskii (2006), A new impedance-based approach to analysis and control of sound scattering

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SO, WE NEED TO MEASURE

Measure : Z_sthe elastic object's structural impedance matrix by placing it in a random noise field and measuring surface normal velocity and pressure. (Scattered field "loaded object then easily determined*)

 $\mathbf{Z_s}$

* Bobrovntiskii (2006), Rakotonarivo, Williams, Kuperman (2013)



MEASUREMENT of the STRUCTURAL IMPEDANCE



LAST TIME: Proof of Concept: Initial <u>on-the-object</u> measurements

- Measurements with only 8+8 sensors on the surface of spherical shell placed in noise
- Symmetry(not possible for arbitrary object) used for data analysis



CONSTRUCT A Dual Surface Array

We require a measurement of the total pressure and normal velocity on the surface of a Target



Field Separation (FST) using Equivalent Source Method: We need to find p and v on surface of object



• Knowing q's we propagate both fields to surface to get total $p(r_s)$ and $v(r_s)$

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$$p_{i}(\mathbf{r}_{s}) = \sum_{\mathbf{r}_{4}} G(\mathbf{r}_{s}, \mathbf{r}_{4})q(\mathbf{r}_{4}) \qquad v_{i}(\mathbf{r}_{s}) = \frac{1}{i\omega\rho} \frac{\partial}{\partial n(\mathbf{r}_{s})} \sum_{\mathbf{r}_{4}} G(\mathbf{r}_{s}, \mathbf{r}_{4})q(\mathbf{r}_{4})$$
$$p_{s}(\mathbf{r}_{s}) = \sum_{\mathbf{r}_{3}} G(\mathbf{r}_{s}, \mathbf{r}_{3})q(\mathbf{r}_{3}) \qquad v_{s}(\mathbf{r}_{s}) = \frac{1}{i\omega\rho} \frac{\partial}{\partial n(\mathbf{r}_{s})} \sum_{\mathbf{r}_{2}} G(\mathbf{r}_{s}, \mathbf{r}_{3})q(\mathbf{r}_{3})$$

• **ISSUE: TOTAL VELOCITY on SURFACE is VERY SMALL. So Use:** Elastic Component of Velocity (Blocked Pressure Subtraction)

WHAT WE'RE USING: Laboratory Equipment

Custom Dual Digital MEMS Microphone sensors ٠



Custom Data Aggregator Boards .



Digital only DAQ – No ٠ amplifier/conditioners/ADC required





2x 3D Scanners •



Scanning Laser Doppler Vibrometer ٠





NI myRIO (small FPGA) **Digilent Digital** Discovery (small FPGA)

NI PXIe system (+4x large FPGAs)

NVIDIA DGX-Station GPU processing system •











Robotic manipulator ٠





Measurements with capped cylindrical target

- Measurements with 32 sensors mounted on the scaffold, at 5mm and 15mm from the cylindrical target
- A white noise source (0.5-24 kHz bandwidth) at 340 different locations was used to generate the incident acoustic field around the target
- Capped Cylindrical Target: Height: 0.15 m Thickness: 0.0025 m Outer radius: 0.04 m Material: PLA





Speaker (source)

Experimental Setup

Measurements with 10 or 32 sensors mounted on the scaffold, at 5mm and 15mm from the cylindrical target



- Tests performed with and without target using a white noise source at different locations around the scaffold
- Pairs of MEMS sensors were used to record the acoustic signals (hologram surfaces)





Measurements with no target (scaffold only)



- Measurements with only 10 sensors mounted on the scaffold and no cylindrical target (305 source positions, white noise 0.5-24 kHz bandwidth)
- Reconstruction of the Green's function between all sensor pairs does not \triangleright seem to be affected by the presence of the scaffold

Average Pressure Xcorr Velocity*conj(Pressure) Xcorr 90 90 80 80 70 70 60 60 Sensor Pair # 05 05 Pair # Sensor | 30 30 20 20 10 10 -2 2 -2 0 Time [s] ×10⁻³ Time [s]

Cross-correlations with no target (scaffold only)

0





Reconstructed Pressure and Velocity at the Target Surface



0.02

[w] 2

-0.02

-0.04

-0.06

-0.08





FEM Simulation of the Capped Cylindrical Target



Correlation Matrices at the Target Surface

Comparison of real and imaginary parts of the correlation matrices (pressure-pressure and velocity-pressure) at 950 Hz for simulated and experimental data (black dot = excitation point)



- Good match for the real part of Cpp and the imaginary part of Cvp
- > Imaginary part of Cpp and real part of Cvp not relevant as they are mainly related to medium losses



Comparison of Eigenmodes between FEM and Experiments

65

Additional Eigenmodes reconstructed from Experiments



Structural Admittance

Comparison of the structural admittance of the cylindrical target at 950 Hz for simulated and experimental data (black dot = excitation point) using the first 20 singular values

 $Y_S = \langle vp^* \rangle \langle pp^* \rangle^{-1}$







WHERE WE ARE GOING: SCATTERING FROM "LOADED" TARGET: INCLUDE EXTERNAL MEDIUM



analysis and control of sound scattering

Borgiotti (1990); Gaumond et Yoder (1995); Lucifredi and Schmidt (2004); Bobrovnitskii (2006)

Scattered field at the receivers

"Insertion" into the medium Example: a partially buried mine



$$\mathbf{Z}_{s}$$
 \mathbf{Z}_{s} $\mathbf{p}_{s} = \left(\frac{1}{2}\right)$

$$\mathbf{p}_{s} = \underbrace{\left(\frac{1}{\mathbf{Z}_{a}} + \frac{1}{\mathbf{Z}_{s}}\right)^{-1} \left(\frac{1}{\mathbf{Z}_{i}} - \frac{1}{\mathbf{Z}_{s}}\right) \mathbf{p}_{i}}_{\mathbf{S}=\text{Scattering Matrix}}$$

Simulated Experiment: Target Echo Strength in Water



- Two 250 mike arrays (separated by 5 mm)
- Two 600 point equivalent sources arrays
- 300 incident plane waves (isotropic)
- SNR ~ 44dB (traveling wave noise)

$$\mathbf{S} = \left(\mathbf{Y}_a + \mathbf{Y}_s\right)^{-1} \left(\mathbf{Y}_i - \mathbf{Y}_s\right)$$



Structural Acoustics

- INITIAL DEMO: EVEN WITH LIMITED NUMBER OF SENSORS, DETAILED STUCTURAL PROPERTIES DETERMINED
- NOT SHOWN: LOCALIZATION OF DEFECTS*
- NEXT:
 - REFINE ACCURACY
 - DETERMINE SCATTERED FIELD OF MEDIUM "LOADED" TARGET AND CONFIRM
 - EXTEND MEASUREMENTS TO MORE COMPLEX OBJECT USING 500 SENSORS
 - STRUCTURAL TOMOGRAPHY

*Lubeigt et al (JASA EL 2019), Metwally et al:Poster

SUMMARY

- OCEAN IS A <u>DYNAMIC</u> COMPLEX MEDIUM; GOAL IS TO EXTEND PROCESSING TO COMPLEX, LOW SNR SCENARIOS.
 - SUFFICIENT A PRIORI MEDIUM KNOWLEDGE NOT POSSIBLE
 - USE THROUGH THE SENSOR DATA AND PHYSICS OF COMPLEX MEDIA
 - NOISE FOR TOMOGRAPHY AND
- STRUCTURAL ACOUSTICS: STRUCTURE IS COMPLETELY DESCRIBED BY ITS SURFACE IMPEDANCE, Z (or ADMITTANCE, Y)
 - NOISE (AND HOLOGRAPHY) CAN PROVIDE Z
 - NOISE CAN PROBE FOR STRUCTURAL DEFECTS (SHM)